



EPACT CERTIFICATION

Section 2306 of the Energy Policy Act of 1992 (EPACT), Public Law 102-486, establishes eligibility requirements for companies to be awarded financial assistance under programs covered by Titles XX through XXIII of the EPACT. For this purpose, "company" has been defined to mean any business entity that has submitted an application for financial assistance, other than an educational, charitable or state government organization as defined in Section 501(C)(3) of the Internal Revenue Code of 1954 (26 U.S.C. 501(C)(3)).

In order for DOE to make an award to an applicant company under a covered program, DOE must determine that the applicant company is: 1) a U.S.-owned company, or 2) incorporated or organized under the laws of any state and has a parent company which is incorporated or organized under the laws of a country which meets certain U.S. trade reciprocity requirements.

In addition, DOE must determine that the award of financial assistance to the applicant's project is in the economic interest of the United States. In making this second determination, DOE will consider the contributions of the applicant company and all participants in the project as a whole, including any contractors or suppliers that the applicant company has named and relied upon in its application.

To assist DOE in making these determinations, the applicant company must fill out Part I of this EPACT Certification form including execution of the certification. In addition, the applicant company should obtain responses to Part II from each project participant. In the event the information submitted is inadequate for DOE to make a determination, DOE will request such additional information as may be required.

PART I APPLICANT INFORMATION

Company Ownership Information

1. Company Name: _____
2. Project Title: _____
3. Is a majority of your company's stock owned or controlled by U.S. citizens? Yes ☐ No ☐
4. Identify the state in which your company is incorporated or organized. _____
5. Does your company have a parent company? Yes ☐ No ☐
6. If yes, identify the country in which the parent company is incorporated or organized. _____

Economic Interest Information

1. Will this project result in investments in the U.S. in research and development? Yes ☐ No ☐

Briefly describe.

2. Will this project result in investments in the U.S. in manufacturing? Yes ☐ No ☐

Briefly describe how and where.

3. Will this project contribute to U.S. employment? Yes ☐ No ☐

Briefly describe how.

4. Does the applicant company agree that it will promote the manufacture within the U.S. of products resulting from any resultant technology under the project and competitively procure parts and materials for this project? Yes ☐ No ☐

If your answer is no, please explain.

Applicant Certification

To be executed by the Applicant Company.

To the best of its current knowledge and belief, the Applicant certifies that the information provided above is current, accurate, and complete. The Applicant also agrees to advise DOE of any changes in this information prior to award or during project performance.

Applicant Representative (Please Print): _____

Representative Title: _____

Signature: _____ Date: _____

PART II

Project Participant Economic Interest Information

Please include this information for each project participant, if applicable.

1. Participant Name: _____
 2. Location of Participant Offices: _____
 3. Location of where the work will be conducted: _____
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4. Will your participation in this project result in investments in the U.S. in research and development? Yes ☐ No ☐

Briefly describe.

5. Will your participation in this project result in investments in the U.S. in manufacturing? Yes ☐ No ☐

Briefly describe how and where.

6. Will your participation in this project contribute to U.S. employment? Yes ☐ No ☐

Briefly describe how.

7. Does the participant agree that it will promote the manufacture within the U.S. of products resulting from any resultant technology under this project and competitively procure parts and materials for this project? Yes ☐ No ☐

If your answer is no, please explain.

Participant Name (Please Print): _____

Participant Title: _____

Signature: _____ Date: _____